

**FORM FOR RECORDING ENCUMBRANCES**  
**CREATION / CANCELLATION FORM**

Religare Broking Limited, Club 125, (Tower), A-3/4/5, Sector- 125, Noida, Uttar Pradesh-201301 CIN No.U65999DL2016PLC314319 CDSL DP ID: 12030200
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 Please fill all the details in **Block Letters** in English

<b>Encumbrance No.</b>	<b>Date</b>	<b>D</b>	<b>D</b>	<b>M</b>	<b>M</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>
<b>Type of Encumbrance</b> NDU <input type="checkbox"/> Lien <input type="checkbox"/> Other Encumbrance <input type="checkbox"/> <i>(tick whichever not applicable)</i>	<b>Encumbrance CANCELLATION</b> Encumbrance ID (System generated) _____ Details of Other Encumbrance _____								

I/We request you to create / cancel the Encumbrance & freeze / Unfreeze for debits the following securities in my demat account. I/We have read and understood the SEBI directions in relation to encumbrance of securities and I/We agree to abide by and be bound by the Act and directions as are in force from time to time for such encumbrances

<b>BOID (i.e. account holder creating NDU / Lien / Other Encumbrance)</b>																				
<b>Account Holder Name</b>	1. _____																			
	2. _____																			
	3. _____																			
<b>Account Holder - Email ID</b>	_____																			
<b>Account Holder (account holder ID in whose favor encumbrance /Lien/NDU is to be created)</b>																				
<b>Account Holder Name in whose favor encumbrance /Lien/NDU is to be created</b>	1. _____										<b>PAN</b>	_____								
	2. _____										<b>PAN</b>	_____								
	3. _____										<b>PAN</b>	_____								
<b>Account Holder in whose favor encumbrance /Lien/NDU is to be created - Email ID</b>	_____																			
<b>ISIN</b>	_____																			
<b>ISIN Name</b>	_____																			
<b>Details of Securities Free Securities:</b>	_____																			
<b>Quantity to be frozen for debit:</b>	_____																			
<b>NDU / Lien / encumbrance / Remarks:</b>	_____																			
<b>NDU /Lien / encumbrance - Reason [tick mark whichever is applicable]</b>	<u>Collateral - Debt I issuance by Co/Grp.Co</u>	<input type="checkbox"/>	<u>Collateral for loan by Company/ Group Co</u>	<input type="checkbox"/>	<u>Collateral for loan by the Third Party</u>	<input type="checkbox"/>	<u>Margin Pledge/MT F for exchange trade</u>	<input type="checkbox"/>	<u>Personal use by promoters and PACs</u>	<input type="checkbox"/>										
<b>PAN of the Ultimate Lender :</b>	_____																			
<b>Unfreeze /Cancellation Date *</b>	<b>D</b>	<b>D</b>	<b>M</b>	<b>M</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>

[\*Note: Unfreeze will be effected after 2 clear business days]

**Declaration from Account Holder :**

I, hereby declare that I am associated with the Company whose securities are being frozen as Promoter ( ) / Company ( ) / Not related ( )

(Tick wherever applicable)

Signature of Account Holder [s]

<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>

**Declaration from Lender:**

I, the lender hereby declare that I am associated with the Company whose securities are being frozen as Promoter ( ) / Company ( ) / Not related ( )

**Signature Account Holder [s] in whose favor encumbrance /Lien/NDU is to be created \***

First Holder	Second Holder	Third Holder

*DP to ensure that signatures of both parties are available to initiate action*

**Depository Participant Seal and Signature**